

07/23/01

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PTO/SB/05 (08-00)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

599P002

First Inventor

Edward J. Ellis

Title

LIGHTWEIGHT MATERIAL FOR PROTECTIVE
PADS, CUSHIONS, SUPPORTS OR THE LIKE....

Express Mail Label No.

EI724599279US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

5. Oath or Declaration [Total Pages]

- a.
- ☐
- Newly executed (original or copy)
-
- b.
- ☐
- Copy from a prior application (37 CFR 1.63 (d))
-
- (for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet. See 37 CFR 1.76**ADDRESS TO:**Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 7.
- ☐
- CD-ROM or CD-R in duplicate, large table or
-
- Computer Program (Appendix)
-
8. Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)
-
- a.
- ☐
- Computer Readable Form (CRF)
-
- b. Specification Sequence Listing on:
-
- i.
- ☐
- CD-ROM or CD-R (2 copies); or
-
- ii.
- ☐
- paper
-
- c.
- ☐
- Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9.
- ☐
- Assignment Papers (cover sheet & document(s))
-
- 10.
- ☐
- 37 CFR 3.73(b) Statement
- ☐
- Power of
-
- (when there is an assignee) Attorney
-
- 11.
- ☐
- English Translation Document (if applicable)
-
- 12.
- ☐
- Information Disclosure
- ☐
- Copies of IDS
-
- Statement (IDS)/PTO-1449 Citations
-
- 13.
- ☐
- Preliminary Amendment
-
- 14.
- ☒
- Return Receipt Postcard (MPEP 503)
-
- (Should be specifically itemized)
-
- 15.
- ☐
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)
-
- 16.
- ☐
- Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____/_____

Prior application information:

Examiner: _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name

Kevin S. Lemack

Nields & Lemack

Address

176 E. Main Street - Suite 8

City

Westboro

State

MA

Zip Code

01581

Country

U.S.A.

Telephone

(508) 898-1818

Fax

(508) 898-2020

Name (Print/Type)

Kevin S. Lemack

Registration No. (Attorney/Agent)

32,579

Signature

Date July 23, 2001

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the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 355.00)

Complete if Known

Application Number	Not yet assigned
Filing Date	Herewith By Express Mail
First Named Inventor	Edward J. Ellis
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	599P002

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

14-0930

Deposit
Account
Name

Niels & Lemack

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
11	-20** = 0	9	0
1	-3** = 0	40	0
Multiple Dependent		270	0

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Kevin J. Lemack

Registration No.
(Attorney/Agent)

32,579

Complete (if applicable)

Telephone

(508) 898-1818

Signature

Date

July 23, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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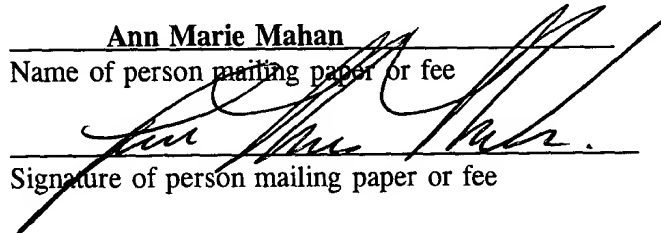
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Edward J. Ellis, et al.
Serial No. : Not yet assigned
Filed : Herewith By Express Mail
For : LIGHTWEIGHT MATERIAL FOR PROTECTIVE PADS,
CUSHIONS, SUPPORTS OR THE LIKE AND METHOD
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 599P002
Type of paper : Utility Patent Application Transmittal Form

"EXPRESS MAIL" MAILING LABEL NO. EI724599279US
DATE OF DEPOSIT: July 23, 2001

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner of Patents, **BOX: PATENT APPLICATION**, Washington, D.C. 20231.

Ann Marie Mahan
Name of person mailing paper or fee


Signature of person mailing paper or fee

09911318-072301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

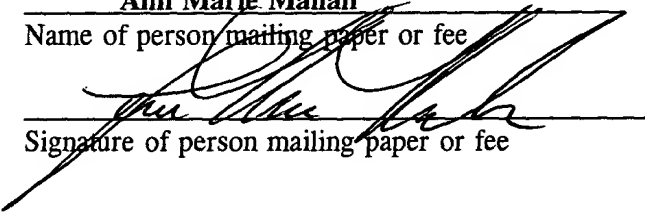
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Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 599P002
Type of paper : Letter

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Ann Marie Mahan

Name of person mailing paper or fee


Signature of person mailing paper or fee

09913318 072301
FOR 20 8 23 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Edward J. Ellis and Theodore B. Brother**

For: **LIGHTWEIGHT MATERIAL FOR PROTECTIVE PADS, CUSHIONS, SUPPORTS
OR THE LIKE AND METHOD**

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

LETTER

The above-identified applicants enclose for filing a patent application entitled "LIGHTWEIGHT MATERIAL FOR PROTECTIVE PADS, CUSHIONS, SUPPORTS OR THE LIKE AND METHOD". Said attached patent application includes (1) Patent Application Transmittal Letter (1-Page); (2) Patent Application: 25-Page Specification (including 2-pages of claims (11) and 1-Page Abstract) and 2-sheets of 8½x11 size drawings; and (3) Fee Transmittal Form (1-Page), together with a check in the amount of \$355.00 in payment of the filing fee.

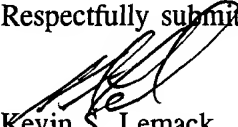
Applicant claims priority of U.S. Provisional Application Serial No: 60/265,763 filed February 1, 2001.

An executed Declaration and Power of Attorney will be submitted when executed.

All correspondence concerning this application should be mailed to:

**Kevin S. Lemack
Niels & Lemack
176 E. Main Street - Suite 8
Westboro, Massachusetts 01581**

Respectfully submitted,


Kevin S. Lemack
Attorney for Applicant
Registration No. 32,579

Date: July 23, 2001

09911318-072301

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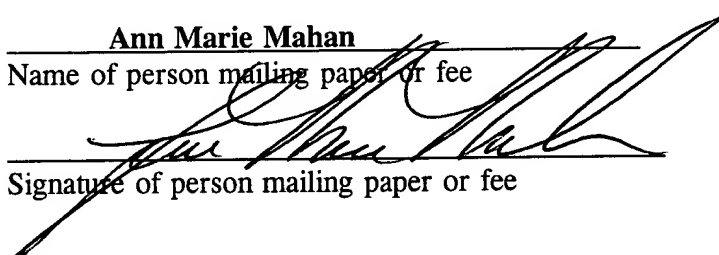
Applicants : Edward J. Ellis, et al.
Serial No. : Not yet assigned
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Ann Marie Mahan

Name of person mailing paper or fee


Signature of person mailing paper or fee

0911318-07201
000220-07201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Edward J. Ellis, et al.

Serial No. : Not yet assigned

Filed : Herewith By Express Mail

For : LIGHTWEIGHT MATERIAL FOR PROTECTIVE PADS,
CUSHIONS, SUPPORTS OR THE LIKE AND METHOD

Examiner : Not yet assigned

Art Unit : Not yet assigned

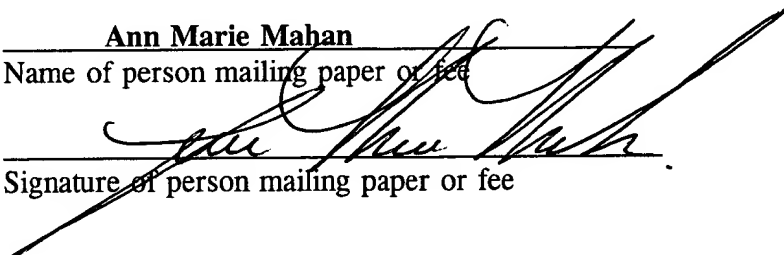
Attorney
Docket No. : 599P002

Type of paper : Fee Transmittal Form, together with a check

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DATE OF DEPOSIT: July 23, 2001

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Ann Marie Mahan
Name of person mailing paper or fee


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FOE220"BTFF660